

**10/564729**  
**Rec'd PCT/PTO 17 JAN 2006**

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q92181

Peggy STUDER, et al.

Appln. No.: Unknown

Confirmation No.: Unknown

Group Art Unit: Unknown

Filed: January 17, 2006

Examiner: Unknown

For: POLYMERIZABLE COPOLYMERS FOR ALIGNMENT LAYERS

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, along with an International Search Report from the International Searching Authority except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

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INFORMATION DISCLOSURE STATEMENT  
U.S. Appl. No.: Based on PCT/EP2004/051425

filing a request for continued examination (RCE) under § 1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations: An English language abstracts, submitted herewith, constitutes a concise statement of relevance for WO 96/10049 which is also cited on page 1 in the specification of the above-identified application. EP 0763552 and EP 0860455 are cited on page 1 in the specification of the above-identified application.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

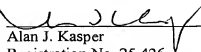
Respectfully submitted,

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Substitute for Form 1449 A &amp; B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	Based on PCT/EP2004/051425
Confirmation Number	12566729
Filing Date	01/17/2006
First Named Inventor	Peggy STUDER
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	Q92181

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 2003/0039768	A1	02-27-2003	Richard Buchecker, et al.
		US 5,385,808	A	01-31-1995	Akira Tokoh, et al.
		US 5,539,074	A	07-23-1996	Rolf-Peter Herr, et al.
		US 6,201,087	B1	03-13-2001	Rolf Peter Herr, et al.
		US 5,998,496	A	12-17-1999	Salah A Hassoon, et al.
		US 3,453,110	A	07-01-1969	Gerard Albert Delzenne, et al.
		US			
		US			
		US			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>4</sup>
		Country Code <sup>2</sup>	Number <sup>3</sup>	Kind Code <sup>2</sup> (if known)			
		EP	0763552	B1	09-19-2001	Rolic AG	
		WO	96/10049	A1	04-04-1996	F. HOFFMANN-LA ROCHE AG	Abstract
		WO	01/53384	A1	07-26-2001	Rolic AG	
		EP	0860455	A2	08-26-1998	Rolic AG	

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>4</sup>

Examiner Signature	/Helen Pezzuto/	Date Considered	08/07/2008
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.